

List of EU competent authorities for external reporting of Whistleblowing matters¹

Introduction

The EU Whistleblowing Directive (“**the Directive**”) has been implemented in a number of European jurisdictions across which State Street operates. Under the Directive, State Street is required to provide certain information regarding the procedures for reporting externally to competent authorities and, where relevant, to institutions, bodies, offices or agencies of the Union.

The table on page 2 provides a non-exhaustive summary of **external reporting options by country**.

Notwithstanding options to report externally, State Street **encourages reporters to utilise internal reporting channels at the first instance via our [Speak Up Line](#)**. The Speak Up Line is a confidential third-party resource where State Street employees, contingent workers, clients and vendors can raise any concerns about misconduct or policy violations. Whatever the topic of the concern, State Street prohibits retaliation against anyone for raising a concern in good faith or for cooperating with an investigation.

¹ Please note that this list is non-exhaustive and contains information relating to the main regulators in various jurisdictions for the reporting of Whistleblowing matters.

Country	EU competent authorities for external reporting of Whistleblowing matters
Austria	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> the Federal Office for the Prevention of and Fight against Corruption / Federal Bureau of Anti-Corruption (Bundesamt zur Korruptionsprävention und Korruptionsbekämpfung (BAK)): Contact: SPOC (bak.gv.at) the External Reporting Office of the State of Vienna for EU Legal Violations: Externe Meldestelle des Landes Wien für EU-Rechtsverstöße - Verstöße melden <p>For breaches of certain areas of law, reports can be made to the relevant specialized unit handling such complaints including:</p> <ul style="list-style-type: none"> The Financial Market Authority: Home - BKMS System (bkms-system.net) The Federal Competition Authority (FCA): BWB Bundeswettbewerbsbehörde: BWB Bundeswettbewerbsbehörde Austrian Financial Intelligence Unit: Reporting offices (bundeskriminalamt.at)
Belgium	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> Federal Ombudsman: https://www.federaalombudsman.be/en/whistleblowers/reporting-integrity-violations-or-breaches-of-law Financial Services and Market Authority: https://www.fsma.be/fr/point-de-contact-lanceurs-dalerte Data Protection Authority: Lodge a complaint Autorité de protection des données
Gegevensbeschermingsautoriteit (dataprotectionauthority.be)
France	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ol style="list-style-type: none"> One of the competent authorities, including:

Country	EU competent authorities for external reporting of Whistleblowing matters
	<ul style="list-style-type: none"> ○ Financial Markets Authority (AMF): Whistleblower AMF (amf-france.org) or French Banking Authority (ACPR): Signaler à l'ACPR un manquement ou une infraction ACPR (banque-france.fr); ○ French Anti-Corruption Agency (AFA), for violations of integrity: Faire un signalement à l'Agence française anticorruption Agence française anticorruption (agence-francaise-anticorruption.gouv.fr); ○ General Directorate of Public Finances (DGFIP), for value added tax fraud: Contact impots.gouv.fr; ○ French Data Protection Authority ("CNIL"): La mise à jour du référentiel relatif aux dispositifs d'alerte professionnelle en questions CNIL; ○ National Information Systems Security Agency (ANSSI): Signalement par un lanceur d'alerte : adresser une alerte à l'ANSSI ANSSI (cyber.gouv.fr). <ol style="list-style-type: none"> 2. the Defender of Rights, who will direct the reporter to the appropriate authority; 3. the judicial authority; 4. an institution, body or agency of the European Union competent to collect information on violations falling within the scope of Directive (EU) 2019/1937 of the European Parliament and of the Council of 23 October 2019.
Germany	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> • Federal Office of Justice (Bundesamt für Justiz) maintains a central external reporting channel BfJ - Hinweisgeberstelle (bundesjustizamt.de); • Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht) BaFin: BaFin - Contact Point for Whistleblowers; • Federal Cartel Office (Bundeskartellamt) Overview (bkms-system.net)

Country	EU competent authorities for external reporting of Whistleblowing matters
Ireland	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> • A prescribed person as available under whistleblowing-list-of-prescribed-persons/, such as: <ul style="list-style-type: none"> ○ The Central Bank of Ireland: Protected Disclosures & Whistleblowing Central Bank of Ireland; ○ The Data Protection Commission: Making a Protected Disclosure to the DPC. • An external person/ public body: <ul style="list-style-type: none"> ○ The Minister of Finance: Ministerial Reporting Channel under the Protected Disclosures Act 2022 (www.gov.ie); ○ A legal advisor such as a barrister, solicitor, trade union official or official of an excepted body. • Office of the Protected Disclosures Commissioner: OPDC Home Protected Disclosures Commissioner OPDC.ie.
Italy	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> • Autorità Nazionale AntiCorruzione (ANAC) – for certain breaches of Union law Whistleblowing - www.anticorruzione.it • Bank of Italy: Bank of Italy - Internal and external whistleblowing reports (bancaditalia.it) • ConSob: Whistleblowing - AREA PUBBLICA - CONSOB
Luxembourg	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> • The Luxembourg Financial Services Authority – CSSF CSSF - Whistleblowing; • The Luxembourg Insurance Commission – CAA Whistleblowing / Lanceur d'alerte - Commissariat aux Assurances (caa.lu);

Country	EU competent authorities for external reporting of Whistleblowing matters
	<ul style="list-style-type: none"> The Luxembourg Data Protection Commission – CNPD Whistleblowers - National Data Protection Commission - Luxembourg (public.lu)
Netherlands	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> Dutch Authority for the Financial Markets (AFM) Making a notification about an incident or wrongdoing (afm.nl); Dutch Whistleblower Authority: English Huisvoorklokkenluiders; Dutch Central Bank: DNB: Integrity Reporting Desk (dnb.nl); Data Protection Authority: Een tip of klacht indienen bij de AP Autoriteit Persoonsgegevens
Poland	<p>Reports may be made to the following competent authorities in this jurisdiction, including but not limited to:</p> <ul style="list-style-type: none"> Commissioner for Human Rights Motion to the commissioner for civil rights protection (brpo.gov.pl); Data Protection Office ("Urząd Ochrony Danych Osobowych, UODO" Lodging a complaint; The Polish Financial Supervision Authority ("Komisja Nadzoru Finansowego") https://www.knf.gov.pl/en/CONSUMERS National Labour Inspectorate ("Państwowa Inspekcja Pracy"): https://www.pip.gov.pl/en/for-employees/how-to-file-a-complaint
Portugal	<p>External reports are submitted to the authorities which, in accordance with their powers and responsibilities, should or may have knowledge of the matter in question. These include:</p> <p>a) the Public Prosecution Service: FAQs Public Prosecution Service of Portugal (ministeriopublico.pt)</p> <p>b) The criminal police bodies: Comunicar Operação Suspeita – UIF – Polícia Judiciária (policiajudiciaria.pt)</p>

Country	EU competent authorities for external reporting of Whistleblowing matters
	<p>c) Banco de Portugal: Formulário de nova reclamação Portal do Cliente Bancario (bportugal.pt)</p> <p>d) the independent administrative authorities;</p> <p>e) public institutions;</p> <p>f) the inspectorates-general and equivalent bodies, and other central departments of the direct administration of the State that have administrative autonomy Central Department of Criminal Investigation and Prosecution Public Prosecution Service of Portugal (ministeriopublico.pt);</p> <p>g) the local authorities CMVM - Whistleblowing; and</p> <p>h) public associations</p>

In addition to the above mentioned competent authorities, reporting can also be made to the European Central Bank: [whistleblowing.bankingsupervision.europa.eu](https://www.bankingsupervision.europa.eu/whistleblowing).