

# Auna S.A.

# Code of Conduct for

# Suppliers and

# Business Partners



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## I. OBJECTIVE

Establish the principles, values, and standards of conduct that all Auna suppliers, third parties, and business partners must comply with, promoting relationships based on integrity, transparency, respect for human rights, and compliance with applicable laws and regulations. The Code of Conduct for Suppliers and Business Partners seeks to ensure that those who collaborate with Auna act ethically and responsibly, preventing illegal activities, including bribery or corrupt practices, and aligning themselves with the principles established by the United Nations and Auna's corporate guidelines.

## II. SCOPE

The Code of Conduct for Suppliers and Business Partners, adopted by Auna's Board of Directors as a supplement to our Code of Conduct, applies to all our suppliers, third parties, and business partners who supply goods, provide services, or collaborate with Auna, either directly or acting on our behalf. It also applies to independent contractors, professionals, and any other person or entity involved in activities related to our operations.

This Code establishes the principles, guidelines, and standards of conduct that must be followed by those who have a business relationship with Auna. When hiring a supplier or business partner, reasonable measures will be taken to ensure that they have a reputation for integrity and that they act ethically, responsibly, and in accordance with our standards and Auna's Code of Conduct.

## III. RESPONSIBILITIES

Suppliers, third parties, independent contractors, professionals, and business partners are responsible for knowing, understanding, and complying with Auna's Code of Conduct for Suppliers and Business Partners, adopting ethical practices that guarantee integrity, transparency, respect for human rights, and compliance with applicable laws. They must also prevent and report any possible breaches, collaborate with Auna in verification and audit processes, and ensure that their employees, subcontractors, and related third parties also act in accordance with the principles and guidelines established in this Code.

## IV. POLICY

At Auna S.A. and its subsidiaries (collectively, the "Company," "Auna" or the "Auna Group"), we are guided by the principle of always working with integrity and strive to maintain honest, respectful, and diligent relationships with all our suppliers, third parties, and business partners. We firmly believe that transparency and integrity should guide every interaction carried out on behalf of the Company.

We are strongly committed to respecting labor and human rights, principles that represent the fundamental values of the Auna Group.

We also support national and international efforts to prevent any illegal activity, including the giving or receiving of bribes in interactions with entities or individuals in both the public and private spheres, whether directly or through intermediaries.

We are fully committed to conducting our business operations in compliance with the laws, rules, and regulations applicable to the Company and the countries in which we operate.

Just as important as our statement of values and our Code is ensuring that those who collaborate with Auna share this ethical commitment by adopting standards of integrity, transparency, and responsibility in all activities they carry out directly or indirectly on behalf of or for the benefit of the company.

### Policy application model



## 1. Conflict of interest

- a) All Auna suppliers, third parties, and business partners must avoid situations in which there is a potential or real conflict of interest, and if they cannot avoid them, they must notify Auna.
- b) If the supplier, third party, business partner, or representative of a supplier company participates in the board of directors or maintains direct links with key executives of competing companies and/or presents a conflict of interest with any of the companies that make up the Auna Group, they must report it before the start of contractual and/or commercial relations.
- c) Any gift, benefit, or attention from suppliers, third parties, or business partners will be rejected, since it may be perceived as a means of unduly influencing the decisions of the recipient, constituting a clear conflict of interest that damages our reputation.

It is prohibited to give gifts or attentions in Auna offices or other addresses.

- d) Invitations to congresses, courses, conferences, seminars, trips, etc., offered by laboratories, suppliers, third parties, or business partners in general, will be evaluated before their approval. This requirement also applies to health professionals (doctors, nurses, technicians, etc.) who provide services to Auna Group companies.

## 2. Integrity of financial and operational reports

At Auna, we are transparent with the results of our operations, so we require transparency from our suppliers, third parties, and business partners, presenting in a timely, reliable, and clear manner the information requested by regulatory entities on activities, structure, financial situation and results.

## 3. Relations with public officials

At Auna, together with our suppliers, third parties and business partners, we respect the authority of public entities and officials wherever we carry out our activity, and we maintain honest and ethical relationships with them.

#### **4. Compliance with laws against corruption, money laundering, and terrorist financing.**

All our suppliers, third parties, and business partners must try to act fairly at all times and by ethical business practices. No one should obtain unfair benefits at the expense of others through manipulation, concealment, abuse of privileged information, misrepresentation of material facts, or any other unfair dealing practice.

Auna prohibits any person who, directly or indirectly, acts on behalf of the organization, from making or receiving any type of improper payment, whether in negotiations with public officials or in the private sector.

Improper payments include receiving or paying bribes, giving, offering, or promising to give money or any other type of value to any person, including a public official, to improperly influence an act or decision of a person or receive an improper benefit.

The definition of the public official may include but is not limited to, any official or employee in any contractual form of a government, a public international organization, a member of a political party, a candidate for public office, any government department or agency, or official or employee of a government-owned company.

Money laundering refers to activities carried out to conceal the true origin of funds received, which usually come from illegal activities, to give it a legal appearance.

Terrorist financing refers to any type of collaboration that favors the achievement of the goals of a terrorist group. Terrorism should be understood as the provocation, creation, or maintenance of a state of anxiety, alarm, or fear in the population or a sector of it, through acts against life, health, liberty, and personal security or against property, goods, or services.

At Auna, we have a very clear policy and very strict guidelines to prevent improper payments, money laundering, and terrorist financing from occurring within the organization.

#### **5. Safe and healthy work environment**

At Auna, together with our suppliers, third parties, and business partners, we always act in compliance with or exceed the health and safety regulations applicable to the sector in which we operate. We are also committed to developing environmentally sustainable activities and aim to find solutions and practices that help minimize the impact on the environment. We adopt the necessary health and safety measures and effectively manage resources, reducing the environmental impact.

#### **6. Diversity and Respect**

At Auna, together with our suppliers, third parties, and business partners, we categorically reject all forms of discrimination, harassment, or violent manifestations, whether physical, psychological,

sexual, economic, or of another nature, among its employees, managers, directors, suppliers, and shareholders.

## 7. Protection of confidential information and intellectual property

At Auna, together with our suppliers, third parties, and business partners, we are committed to protecting the confidential and sensitive information of the Company and that of its clients or suppliers, as well as the personal or confidential information of employees and/or third parties, by applicable legislation and professional judgment. We keep all information strictly confidential, except when its disclosure is authorized by Auna or required by law.

## 8. Complaints Channel

Auna has reporting channels available to all its employees, investors, partners, suppliers, and other individuals or legal entities that conduct business with the Company.

- Website: [www.gestionetica.com/Auna/](http://www.gestionetica.com/Auna/)
- Email: [auna@gestionetica.com](mailto:auna@gestionetica.com)
- Telephone line: PERÚ: Toll-free line: 0-800-1-8118 (opción 2) / teléfono fijo: 219-7108, prefijo 3003 (opción 2). COLOMBIA: Toll-free line: 01-800-951- 0725. MÉXICO: Toll-free line: 800- 323-0105
- Postal address: Av. Víctor Andrés Belaúnde 171 - San Isidro, Lima 27 - Lima - Perú.
- Interview request: Av. Víctor Andrés Belaúnde 171 - San Isidro, Lima 27 - Lima - Perú.

V. ANNEXES

**ANNEX 1 - STATEMENT OF ACCEPTANCE, UNDERSTANDING AND COMPLIANCE WITH THE CODE OF CONDUCT FOR SUPPLIERS AND BUSINESS PARTNERS**

**Affidavit of Acceptance, Understanding, and Compliance with the Code of Conduct for Suppliers and Business Partners**

I, [full name] with identity document No.: \_\_\_\_\_, as Legal Representative of [name of the company/organization] with tax identification number: \_\_\_\_\_, declare under oath that we are obliged to comply with the provisions of this Code of Conduct for Auna Suppliers, as well as the applicable guidelines of the Code of Conduct and Anti-Corruption Policy of Auna available on the website <https://auna.org/pe/gestion-etica-auna> during the commercial relationship and/or contracting, execution and/or provision of services.

In this sense, I declare and guarantee that the company in which I act as legal representative:

- Acts with integrity and all activities strictly comply with the Anti-Corruption Laws that are applicable both in the country and abroad.
- Has not granted or agreed to grant any benefit or bribe to a public official or to any person seeking the benefit of a public official in circumstances that they knew or should have known, except that such acts were not prohibited by anti-corruption laws.
- Has not been involved in investigations, prosecution, or criminal sanctions for the violation of Anti-Corruption Laws.
- No public official or Government Authority currently owns any share or stock, nor has any legal interest or benefit.
- Is constantly updating and training regarding Anti-Corruption Laws.
- Recognizes the obligation to immediately notify of any breach of the Code of Conduct for Suppliers and Business Partners and understands that this statement must be updated when any of the situations change.
- You understand that failure to comply with Anti-Corruption Laws and/or any breaches of the Auna Code of Conduct for Suppliers and Business Partners may result in the termination of the business and/or contractual relationship between the parties.

- You have received a copy of the Auna Code of Conduct for Suppliers and Business Partners and declare that you comply with it.

[City], [date]

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

**ANNEX 2 - DECLARATION OF CONFLICTS OF INTEREST FOR SUPPLIERS AND PARTNERS OF BUSINESS**

**Affidavit of Conflicts of Interest**

I, [full name] with ID number: \_\_\_\_\_, as Legal Representative of [name of company/organization] with tax identification number: \_\_\_\_\_, declare that:

1. I declare that I am aware of the situations that may constitute a conflict of interest, as well as the importance of identifying and declaring them.
2. YES  I do NOT  have any personal, financial, professional, or family interest that may influence, or appear to influence, my judgment during the contracting, execution and/or provision of services.
3. If your answer is affirmative, please justify the nature of the relationship or link that could generate a conflict of interest:

4. If my relationship with third parties, such as suppliers, clients, or external collaborators, generates any type of conflict of interest, I undertake to immediately notify through <https://www.gestionetica.com/auna/> and refrain from participating in any decision that may be influenced by such interests, as well as take appropriate measures to evaluate and manage such situation ethically and transparently.
5. This commitment is maintained for the entire duration of my relationship with [name of the company/organization] and obliges me to periodically review my activities to ensure that there are no undeclared conflicts of interest.

[City], [date]

Name: \_\_\_\_\_

Signature: \_\_\_\_\_