

Broadridge Awarded Patent for Regulatory Communication Assembly and On-demand Print Process

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LAKE SUCCESS, N.Y., Nov. 10, 2014 /PRNewswire/ -- [Broadridge Financial Solutions, Inc.](#) (NYSE:BR), a leading provider of investor communication solutions, announced the issuance of its latest patent in its portfolio of patents related to processing regulatory communications for investors. Broadridge's newly patented process helps its brokerage firm clients meet their prospectus fulfillment compliance requirements in the most accurate, timely and cost-effective way.

"Our unique solution set has transformed the regulatory-communications process so that investors have the most up-to-date prospectus mailed in the most efficient, client-friendly and economical manner. As showcased by this latest patent, we're dedicated to bringing the best, most compliant and lowest total-cost solutions to our clients," said Lyell Dampeer, President, Investor Communication Solutions (ICS) US, Broadridge.

Bill Bondi, Vice President and General Manager, ICS Prospectus Fulfillment, further commented, "Our best-in-class fulfillment solution is underpinned by this new patent and an unwavering focus to evolve our technology so that we can deliver the effective and innovative solutions our clients require."

The patent is directed to Broadridge's technology for automated, on-demand and customizable assembling and high-throughput printing of documents, which may be used for mailings of large volumes of regulatory and investor communications that require a short turnaround time, including, but not limited to, summary prospectus fulfillment. U.S. Patent No. 8,856,046 ("the '046 Patent") is entitled "Computer Systems And Computer Methods For Automated On-Demand Customizable Assembling And High Throughput Printing Of Documents."

About Broadridge

[Broadridge Financial Solutions, Inc.](#) (NYSE:BR) is the leading provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers globally. Broadridge's investor communications, securities processing and business process outsourcing solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities. With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes more than \$5 trillion in fixed income and equity trades per day. Broadridge employs approximately 6,700 full-time associates in 14 countries.

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