



Broadridge Invests In and Enters Into an Alliance with LiquidX, Inc.

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LAKE SUCCESS, N.Y., March 21, 2016 /PRNewswire/ -- [Broadridge Financial Solutions, Inc.](#) (NYSE: BR) today announced that it has made a strategic investment and entered into a referral, sales and marketing alliance with LiquidX, Inc. (LiquidX), the provider of an electronic exchange platform for global trade finance.

Founded by former TradeWeb CEO Jim Toffey, LiquidX has a unique exchange platform that brings significant liquidity and transparency to the historically difficult trading of corporate receivables by connecting sell-side and buy-side participants. LiquidX unlocks balance sheet capital from large corporations through its innovative technology-driven auction market.

Through the alliance, Broadridge will facilitate access to LiquidX's trade finance exchange platform for the nearly 2,000 corporations globally with which Broadridge has relationships. The strategic collaboration with LiquidX will enable Broadridge to connect corporate treasury and finance professionals with the capital markets and trading desks of its large network of corporate, bank and asset management clients.

"LiquidX's innovative technology combined with Broadridge's leadership role in facilitating trading and settlement on behalf of its large global client network will provide significant value in addressing liquidity and execution issues within trade finance," said Charlie Marchesani, president of Global Technology and Operations at Broadridge. "Our alliance with LiquidX is a strategic extension of our processing capabilities to a new emerging electronic asset class marketplace."

Jim Toffey, CEO and founder of LiquidX, said: "We are excited to partner with Broadridge to greatly expand the distribution reach of our highly-scalable and rapidly-growing platform."

Chris Perry, president of Global Sales, Marketing and Solutions at Broadridge, said: "Broadridge's continued focus is on bringing revenue-generating, value-added solutions to our network of clients. Our unique business model enables us to serve as the intersection between corporate, buy-side and sell-side firms and work with LiquidX to bring needed liquidity to an illiquid asset class."

About Broadridge

Broadridge Financial Solutions, Inc. (NYSE: BR) is the leading provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers globally. Broadridge's investor communications, securities processing and managed services solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities. With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes on average \$5 trillion in equity and fixed income trades per day. Broadridge employs approximately 7,400 full-time associates in 14 countries. For more information about Broadridge, please visit www.broadridge.com.

About LiquidX

LiquidX Inc. is the largest electronic marketplace for the exchange of Trade Finance assets. Through its leading technology platform and a diverse and flexible pool of capital, the auction-based marketplace of LiquidX provides a transparent platform for tapping the capital markets to deliver best price execution for Trade Finance assets. The LiquidX marketplace is open to all participants including large corporations, banks, asset managers, hedge funds, insurers and family offices to trade risk and to invest in the Trade Finance asset class. The LiquidX seller base is diverse and deep with credits from the largest global companies, and the LiquidX buyer base ranges from the largest global banks to community banks, hedge funds, and global asset managers. The LiquidX trading platform has executed over \$7.4 billion of trade volume and processed over \$30 billion in post trade settlement to date. For more information about LiquidX, please visit www.lqxinc.com.

Forward-Looking Statements

This press release and other written or oral statements made from time to time by representatives of Broadridge may contain "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Statements that are not historical in nature, and which may be identified by the use of words such as "expects," "assumes," "projects," "anticipates," "estimates," "we believe," "could be" and other words of similar meaning, are forward-looking statements. These statements are based on management's expectations and assumptions and are subject to risks and uncertainties that may cause actual results to differ materially from those expressed. These risks and uncertainties include those risk factors discussed in Part I, "Item 1A. Risk Factors" of our Annual Report on Form 10-K for the fiscal year ended June 30, 2015 (the "2015 Annual Report"), as they may be updated in any future reports filed with the Securities and Exchange Commission. All forward-looking statements speak only as of the date of this press release and are expressly qualified in their entirety by reference to the factors discussed in the 2015 Annual Report.

These risks include: the success of Broadridge in retaining and selling additional services to its existing clients and in obtaining new clients; Broadridge's reliance on a relatively small number of clients, the continued financial health of those clients, and the continued use by such clients of Broadridge's services with favorable pricing terms; changes in laws and regulations affecting Broadridge's clients or the services provided by Broadridge; declines in participation and activity in the securities markets; any material breach of Broadridge security affecting its clients' customer information; the failure of Broadridge's outsourced data center services provider to provide the anticipated levels of service; a disaster or other significant slowdown or failure of Broadridge's systems or error in the performance of Broadridge's services; overall market and economic conditions and their impact on the securities markets; Broadridge's failure to keep pace with changes in technology and demands of its clients; Broadridge's ability to attract and retain key personnel; the impact of new acquisitions and divestitures; and competitive conditions. Broadridge disclaims any obligation to update or revise forward-looking statements that may be made to reflect events or circumstances that arise after the date made or to reflect the occurrence of unanticipated events, other than as required by law.

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To view the original version on PR Newswire, visit: <http://www.pnewswire.com/news-releases/broadridge-invests-in-and-enters-into-an-alliance-with-liquidx-inc-300238261.html>

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