

Broadridge Launches Next Generation Global Post Trade Management Solution Enabling Banks to Gain Transformative Operational Advantages

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MIAMI, May 3, 2016 /PRNewswire/ -- Broadridge Financial Solutions, Inc. (NYSE: BR) today announced the introduction of its Global Post Trade Management (GPTM) solution, a next generation offering that allows investment banks and broker-dealers to transform their operating models to gain operational and cost efficiency. The solution enables transformation by streamlining operations across asset classes, markets and business entities globally, and enhances banks' and brokers' financial and risk management and regulatory compliance capabilities.

The solution, which has already been adopted by a major investment bank, leverages a new componentized technology architecture to provide financial institutions with a consolidated enterprise platform that standardizes post-trade processing, eliminating duplicative operations and information silos and reducing processing and support costs. Through GPTM, global banks and brokers will have greater transparency and real-time visibility on global trades, positions, P&L and a consolidated sub-ledger, enabling greater financial and risk management and regulatory compliance. GPTM leverages Broadridge's existing best-in-class solutions and services that are used by firms of all sizes.

"Financial institutions globally are adjusting to a new market and regulatory landscape that requires more information and increased transparency for regulators, clients and their risk and finance functions," said Arin Ray, analyst, Global Financial Services Securities & Investments Group, Celent. "As a result, standardized and streamlined post-trade processing and management across all capital markets functions is increasingly critical. The GPTM solution is an innovative platform built on Broadridge's proven technology capabilities and decades of expertise and is designed to meet banks' current needs as well as provide a model for future strategic business growth."

Charlie Marchesani, president, Global Technology and Operations at Broadridge, said: "Global investment banks are facing unprecedented regulatory and cost pressures and require solutions that allow them to consolidate duplicative operations, systems and processes to gain business efficiencies and improve profitability. GPTM is built on the foundation of Broadridge's global capital markets expertise, and we view this as a truly transformative platform that helps financial institutions gain a significant operational advantage, removing multiple incumbent systems and complex deployments."

Global Post Trade Management can be deployed as a technology service or a fully outsourced Managed Service, including operations and technology functions from trade capture through matching and confirmation, clearance and settlement, cash management, reconciliations, asset servicing, books and records, accounting and regulatory reporting.

About Broadridge

Broadridge Financial Solutions, Inc. (NYSE: BR) is the leading provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers globally. Broadridge's investor communications, securities processing and managed services solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities. With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes on average \$5 trillion in equity and fixed income trades per day. Broadridge employs approximately 7,400 full-time associates in 14 countries. For more information about Broadridge, please visit www.broadridge.com.

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