

Broadridge and Lombard Risk form Strategic Alliance in the Collateral Management Sector

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LAKE SUCCESS, N.Y. and LONDON, March 13, 2014 /PRNewswire/ -- [Broadridge Financial Solutions](#), Inc. (NYSE:BR), a leading provider of technology-driven solutions for financial firms and corporate issuers globally, together with [Lombard Risk Management](#) plc, (LSE:LRM), a leading provider of integrated collateral management, regulatory compliance and reporting solutions, today announced they have formed a global alliance to address changing industry needs in the collateral management sector.

In recent years, several factors such as global regulatory changes, tighter liquidity, a move towards greater transparency and the increasing cost of collateral have prompted firms to rethink their approach to collateral management. In response to these changing market dynamics, this strategic partnership will lead to the creation of powerful Broadridge integrated collateral management offerings, combining Lombard Risk's best-in-breed technology with Broadridge's industry-leading applications and infrastructure.

"Collateral management is a natural extension of Broadridge's product portfolio. We process more than \$5 trillion of fixed income and equity trades on average per day and have a significant inventory of data already residing on our platforms," said Michael Hopkins, President of Securities Processing Solutions Fixed Income & Risk at Broadridge. "By partnering with Lombard Risk, we will deliver collateral management solutions that will provide capital markets and investment management firms with greater control over the changing market framework and create true strategic and economic value."

"Through our COLLINE[®] collateral, clearing, repo and optimization technology we have achieved a market-leading position, with significant amounts of collateral being handled through our platform and several Tier 1 banks on our client list. We are delighted that our firm and technology have been chosen by Broadridge to power their collateral management platform. Our alliance will extend the use of Lombard Risk's technology and our joint expertise within key markets and segments, particularly in the important North American market," said John Wisbey, Founder and CEO of Lombard Risk.

About Broadridge

Broadridge Financial Solutions, Inc. (NYSE:BR) is the leading provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers globally. Broadridge's investor communications, securities processing and business process outsourcing solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities. With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes more than \$5 trillion in fixed income and equity trades per day. Broadridge employs approximately 6,400 full-time associates in 13 countries.

For more information about Broadridge, please visit www.broadridge.com.

About Lombard Risk – www.lombardrisk.com (LSE: LRM)

Lombard Risk is a leading provider of integrated collateral management and liquidity, regulatory, transaction and MIS reporting solutions – enabling firms in the financial services industry to significantly improve their approach to managing the risk in their businesses.

Founded in 1989 and headquartered in London, Lombard Risk has offices in New York and New Jersey in the US; Hong Kong, Shanghai, Singapore and Tokyo in Asia Pacific; and service centres in Atlanta, Cape Town, Luxembourg and Miami.

Our clients include banking businesses - over 30 of the world's "Top 50" financial institutions - almost half of the banks operating in the UK, as well as investment firms, asset managers, hedge funds, fund administrators, insurance firms and large corporations worldwide.

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