



Oppenheimer & Co. Inc. Goes Live with Broadridge's Global Proxy Services

Released : 11 22 2010

LAKE SUCCESS, N.Y.--(BUSINESS WIRE)-- Broadridge Financial Solutions, Inc. (NYSE:BR) today announced that Oppenheimer & Co. Inc. ("Oppenheimer") has signed a new multi-year agreement and commenced live operations with Broadridge's Global Proxy services to support its clients' international assets in over 70 markets.

The new agreement enables Oppenheimer's institutional and branch clients to receive and vote proxy notifications for international securities through Broadridge's web-based ProxyEdge^(R) service. The service includes a fully-automated, multi-language electronic solution supporting straight-through processing from account collection and agenda distribution through vote processing.

Broadridge has witnessed an increased requirement from U.S.-based brokers seeking to service the growing proportion of cross-border assets in their clients' investment portfolios which, in turn, has led to the expansion of Broadridge's Global Proxy services in the U.S. brokerage sector.

"We are very pleased to be working with Broadridge for our international proxy processing requirements. Their highly automated service enables us to offer our institutional and branch clients a more comprehensive range of services," said the Managing Director of Operations at Oppenheimer & Co. Inc. "After a well-managed implementation process, we are now strongly positioned to further support our clients as they capitalize on the global markets."

"We are very proud to extend our relationship with Oppenheimer through our Global Proxy services," said James O'Regan, General Manager, Investor Communication Solutions, International, Broadridge. "We are fully committed to supporting their cross-border asset servicing needs with the highest standards of service delivery."

This service strengthens Broadridge's existing relationship with Oppenheimer in the U.S. where they already use a range of Broadridge's solutions for investor communications and securities processing.

About Broadridge

Broadridge is a technology services company focused on global capital markets. Broadridge is the market leader enabling secure and accurate processing of information for communications and securities transactions among issuers, investors and financial intermediaries. Broadridge builds the infrastructure that underpins proxy services for over 90% of public companies and mutual funds in North America; processes more than \$3 trillion in fixed income and equity trades per day; and saves companies billions annually through its technology solutions.

Source: Broadridge Financial Solutions, Inc.