



Broadridge Adds Derivatives Clearing to its Global Post Trade Management Solution

Released : 05 11 2016

LONDON, May 11, 2016 /PRNewswire/ -- [Broadridge Financial Solutions, Inc.](#) (NYSE:BR) today announced that it has broadened its Global Post Trade Management (GPTM) solution, adding exchange-traded derivatives functionality through the acquisition of Dojima LLC.

Through this acquisition, Broadridge Global Post Trade Management will facilitate central clearing for exchange-traded derivatives, which encompasses connectivity to global clearing houses and exchanges through its global, multi-asset class post-trade solution. Terms of the deal were not disclosed.

Dojima's solution, rebranded as Broadridge Derivatives Clearing, a component of GPTM, offers a modern, multi-asset multi-tenant clearing and connectivity platform for exchange-traded and cleared OTC derivatives. Its real-time, rules driven, auto-clearing facilities allow trades to flow seamlessly from global clearing houses to clearing members through to end-clients within seconds. It provides a highly flexible interface that accelerates time to market while addressing the rapidly-changing and complex requirements of derivative reforms. Following [Broadridge's recent strategic](#) alliance with The Technancial Company to provide margin calculation capabilities, this deal completes the creation of a best-of-breed clearing solution for exchange-traded derivatives.

"This strategic expansion of our futures and options offering is an important addition to our GPTM roadmap, enabling us to offer a broader, tightly-integrated global post-trade processing solution for investment banks and brokers," said Tom Carey, president, Global Technology and Operations International, Broadridge.

"It demonstrates the continuing, strong market traction of our solution globally, which in the last year included the adoption by leading brokers in North America, Japan and Germany. We are delighted to welcome the talented Dojima team, who has deep domain experience in this market," Carey said.

Nachi Muthu, Head of Derivatives Trading and Clearing Solutions, Global Technology and Operations, International at Broadridge and former CEO of Dojima, said: "Broadridge has been a leader in helping companies transform the breadth and economics of their operational models through global, seamlessly integrated post-trade processing solutions. We are pleased to join the Broadridge team, leveraging our multi-tenant, multi-currency and multi-asset class technology to help firms meet rapidly-evolving market and regulatory changes in the exchange-traded derivatives marketplace."

Broadridge's Global Post Trade Management can be deployed as a technology platform or fully outsourced managed service, delivering operational and technological functions from trade capture through matching and confirmation, clearance and settlement, cash management, reconciliations, asset servicing, books and records, accounting and regulatory reporting.

About Broadridge

Broadridge Financial Solutions, Inc. (NYSE: BR) is the leading provider of investor communications and technology-driven solutions for broker-dealers, banks, mutual funds and corporate issuers globally. Broadridge's investor communications, securities processing and managed services solutions help clients reduce their capital investments in operations infrastructure, allowing them to increase their focus on core business activities. With over 50 years of experience, Broadridge's infrastructure underpins proxy voting services for over 90% of public companies and mutual funds in North America, and processes on average \$5 trillion in equity and fixed income trades per day. Broadridge employs approximately 7,400 full-time associates in 14 countries. For more information about Broadridge, please visit www.broadridge.com.

About Dojima

Dojima is a niche technology firm founded by industry veterans who recognized the need for an effective technical solution to meet the challenges posed by evolving global derivatives reforms. The firm provides global trading, clearing, matching and connectivity solutions based out of New York, London, Singapore and Chennai. Its multi-tenant SaaS-based delivery model helps clients to reduce total cost of ownership while meeting the regulatory and business challenges facing the derivatives industry.

Forward-Looking Statements

This press release and other written or oral statements made from time to time by representatives of Broadridge may contain "forward-looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Statements that are not historical in nature, and which may be identified by the use of words such as "expects," "assumes," "projects," "anticipates," "estimates," "we believe," "could be" and other words of similar meaning, are forward-looking statements. These statements are based on management's expectations and assumptions and are subject to risks and uncertainties that may cause actual results to differ materially from those expressed. These risks and uncertainties include those risk factors discussed in Part I, "Item 1A. Risk Factors" of our Annual Report on Form 10-K for the fiscal year ended June 30, 2015 (the "2015 Annual Report"), as they may be updated in any future reports filed with the Securities and Exchange Commission. All forward-looking statements speak only as of the date of this press release and are expressly qualified in their entirety by reference to the factors discussed in the 2015 Annual Report.

These risks include: the success of Broadridge in retaining and selling additional services to its existing clients and in obtaining new clients; Broadridge's reliance on a relatively small number of clients, the continued financial health of those clients, and the continued use by such clients of Broadridge's services with favorable pricing terms; changes in laws and regulations affecting Broadridge's clients or the services provided by Broadridge; declines in participation and activity in the securities markets; any material breach of Broadridge security affecting its clients' customer information; the failure of Broadridge's outsourced data center services provider to provide the anticipated levels of service; a disaster or other significant slowdown or failure of Broadridge's systems or error in the performance of Broadridge's services; overall market and economic conditions and their impact on the securities markets; Broadridge's failure to keep pace with changes in technology and demands of its clients; Broadridge's ability to attract and retain key personnel; the impact of new acquisitions and divestitures; and competitive conditions. Broadridge disclaims any obligation to update or revise forward-looking statements that may be made to reflect events or circumstances that arise after the date made or to reflect the occurrence of unanticipated events, other than as required by law.

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