NEWS RELEASE

Broadridge to Bring OnChain Governance and Digital Transfer Agency to New York Stock Exchange Tokenized Securities Platform

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NEW YORK, Nov. 2, 2026 /PRNewswire/ -- **Broadridge** (NYSE: BR) today announced plans to bring on-chain shareholder governance and digital transfer agency services to New York Stock Exchange's (NYSE) tokenized securities platform, scheduled to launch following regulatory approval. These steps demonstrate the NYSE platform's interoperability and marks the next phase of its development and Broadridge's commitment to bringing world class governance and transfer agency services to token investors and public companies.

"Broadridge is building the governance layer for tokenized equities, ensuring investors have access to trusted, institutional-grade governance. We're pleased to bring these capabilities to the NYSE's tokenized securities platform. We're also making it easier for issuers to participate by bringing our digital and traditional transfer agency services and proxy voting capabilities together in one solution—regardless of how shares are traded or where they are held," said Tim Gokey, CEO of Broadridge.

NYSE will leverage Broadridge's governance smart contracts, connecting tokenized securities to meeting agendas, voting rules, and verifiable results. The framework supports proxy material distribution and digital wallet authentication linked to verified voting entitlements, helping investors exercise their shareholder rights as ownership moves on-chain. Investors can participate in Broadridge's on-chain governance services through supported wallets, broker interfaces or ProxyVote, based on their preferences.

"Tokenization has the potential to transform the manner in which issuers interact with their shareholders," said Lynn Martin, President, NYSE Group. "Onboarding Broadridge's digital transfer agency service marries trust and innovation, and partnering with NYSE advances our goal of connecting tokenized trading, clearing, and shareholder governance across a more unified ecosystem."

The integration of Broadridge's digital transfer agency service expands the NYSE platform's connectivity with digital transfer agency services, giving issuers greater choice in how they bring their equities on-chain. Broadridge's services will enable NYSE-listed issuers to tokenize their stock for trading on NYSE's tokenized securities platform—connecting issuer services with digital trading infrastructure.

The partnership between Broadridge and NYSE brings together stock tokenization, transfer agency services, shareholder communications, proxy voting, and digital identity capabilities to connect tokenized stock ownership with the infrastructure that supports public company governance.

About Broadridge's Tokenization Solutions

Broadridge is building solutions for issuers to tokenize their stock, engage in on-chain proxy voting and governance, and access digital asset infrastructure including post trade, wallets and custody.

Broadridge's governance platform serves all models of tokenized securities, including issuer-listed models, synthetic securities issued outside the United States, and third-party tokenized shares within the United States, helping ensure investors receive the same rights and protections regardless of how assets are structured or owned.

DLX is Broadridge's tokenization platform, designed to help financial institutions operate across the lifecycle of tokenized securities. It brings together solutions spanning issuance, trading, financing, settlement and servicing, including its **Distributed Ledger Repo (DLR)** solution, the world's largest institutional platform for settling tokenized real assets, tokenizing over $360 billion a day. As tokenization gains momentum across financial services, Broadridge is abstracting away the complexity and enabling a unified experience across traditional and digital assets.

About Broadridge

Broadridge is a global technology leader with trusted expertise and transformative technology, helping clients and the financial services industry operate, innovate, and grow. We power investing, governance, and communications for our clients – driving operational resiliency, elevating business performance, and transforming investor experiences.

Broadridge's technology and operations platforms process and generate over 8 billion communications annually and underpin the daily average trading of over $18 trillion in tokenized and traditional securities globally. A certified Great Place to Work®, Broadridge is part of the S&P 500® Index, employing approximately 16,000 associates in 28 countries.

For more information about us, please visit **www.broadridge.com**.

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