

# **BROADRIDGE FINANCIAL SOLUTIONS, INC.**

## **GOVERNANCE AND NOMINATING COMMITTEE CHARTER**

### **I. Purpose**

The primary functions of the Governance and Nominating Committee (the “Committee”) are to assist the Board of Directors (the “Board”) of Broadridge Financial Solutions, Inc., a Delaware corporation (the “Company”), by: (i) identifying individuals qualified to become Board members, consistent with criteria approved by the Board, and recommending that the Board select a group of director nominees for each next annual meeting of the Company's stockholders; (ii) ensuring that the Audit, Compensation and Governance and Nominating Committees of the Board shall have the benefit of qualified and experienced “independent” directors; (iii) developing and recommending to the Board a set of effective corporate governance policies and procedures applicable to the Company; and (iv) facilitating evaluations of the Board.

### **II. Organization**

The Committee shall consist of three or more directors, each of whom shall be “independent” in accordance with applicable laws, rules and regulations, including the applicable rules and regulations of the Securities and Exchange Commission and the rules of the New York Stock Exchange and any other applicable regulatory requirements.

Committee members shall be elected annually by the Board; members shall serve until their successors shall be duly elected and qualified. Members of the Committee may be removed at any time by action of the Board. Notwithstanding the foregoing, if a member no longer serves on the Board or ceases to be “independent,” such person shall immediately resign as a Committee member. The Committee’s Chair shall be designated by the full Board or, if it does not do so, the Committee members shall elect a Chair by vote of a majority of the full Committee.

The Committee may form and delegate authority to subcommittees when appropriate, provided that the subcommittees are composed entirely of directors who satisfy the applicable independence requirements of the New York Stock Exchange.

### **III. Structure and Meetings**

The Committee will meet at least three times per year, or more frequently as circumstances require. Meetings may be held by conference telephone or other communications equipment in accordance with the General Corporation Law of the State of Delaware. The Chair of the Governance and Nominating Committee will preside at each meeting and, in consultation with the other members of the Committee and Company management (“Management”), will set the frequency and length of each meeting and the agenda of items to be addressed at each meeting. Briefing materials will be provided to the Committee as far in advance of meetings as practicable. If the Chair of the Committee is absent from any meeting of the Committee, the remaining members of the Committee present at such meeting will select a member of the Committee who is present at the meeting to lead the meeting. The Chair of the Committee shall ensure that the agenda for each meeting is circulated to each Committee member in advance of the meeting.

The Committee may invite members of Management and others to attend meetings and to provide pertinent information at the Committee meeting as it may deem desirable or appropriate. The Committee shall report regularly to the Board summarizing the Committee's actions and any significant issues considered by the Committee, including recommendations of the Committee, where appropriate. As part of its job to foster open communications, each regularly scheduled Committee meeting will end with the Committee meeting in executive session and the Committee may meet with Management during its executive session to discuss any matters that the Committee (or Management) believes should be discussed privately.

Except as otherwise provided by this Charter or by applicable laws or regulations: (1) a majority of the members of the Committee entitled to vote, either present in person or by means of remote communication shall constitute a quorum for the transaction of business at all meetings of the Committee and (2) all actions of the Committee shall be by affirmative vote of a majority of those members so determined to be present. Actions of the Committee may be taken by voice vote and a record thereof included in the minutes of the meeting, or may be taken by unanimous written consent by the members voting for the action. Any such unanimous consent may be delivered in counterparts.

#### **IV. Goals and Responsibilities**

To fulfill its responsibilities, the Committee shall:

1. Develop and recommend to the Board a set of corporate governance principles applicable to the Company, and review and reassess the adequacy of such guidelines annually and recommend to the Board any changes deemed appropriate in order to ensure compliance with corporate governance requirements imposed by the New York Stock Exchange, by any law or regulation, and by the Company's Certificate of Incorporation, By-laws and Code of Business Conduct and Ethics for directors, officers and employees (the "Code of Conduct").
2. Develop policies and periodically review and make recommendations to the Board on the size and composition of the Board.
3. Review possible candidates for Board membership consistent with the Board's criteria for selecting new directors, including independence and diversity considerations.
4. In the case of a director vacancy, recommend to the Board an individual to fill such vacancy through election by the Board.
5. Conduct Board and committee performance evaluations on an annual basis.
6. Annually recommend a slate of nominees to the Board with respect to nominations for the Board at the annual meeting of the Company's stockholders.
7. The Committee will annually review and reassess the adequacy of the Code of Conduct and

recommend any proposed changes to the Board for approval.

8. Review and discuss with Management the program that Management has established to monitor compliance with the Code of Conduct.
9. Generally advise the Board on corporate governance matters.
10. Together with the Audit Committee, the Committee will assist the Board in its oversight of legal and regulatory compliance. The Audit Committee shall have sole oversight over matters of financial compliance (accounting, auditing, financial reporting and investor disclosures), significant legal or regulatory compliance exposure, and material reports or inquiries from regulators. With respect to the overall governance of compliance and the Ethics and Compliance Program, the Committee shall have oversight responsibilities in the first instance; however, the two committees shall meet jointly at least annually to discuss compliance matters. The Committee shall periodically report to the Board with respect to the effectiveness of the program established to monitor compliance with the Code of Conduct. In the event the Committee becomes aware of matters which in its judgment may affect the Company's financial statements, it shall promptly report such matters to the Audit Committee in order to assist the Audit Committee in its oversight of legal and regulatory matters pertaining to financial compliance.
11. The Committee will review and approve (i) any change or waiver in the Code of Conduct and (ii) any disclosure made on the Company's website regarding such change or waiver.
12. The Committee will consider director candidates proposed by stockholders, provided that the stockholder recommendation complies with the Company's By-law provisions requiring that stockholder submissions be submitted to the Company's Corporate Secretary in a timely manner and include the information called for in the Company's By-laws. The Committee will use the same process for evaluating a stockholder director candidate as it uses for any other potential nominee. The Committee is also responsible for initially assessing and recommending to the Board whether a candidate would be an independent director.
13. The Committee shall also advise the Board on (a) Board committee member qualifications, (b) Board committee member appointments and removals, (c) Board committee structure and operations (including authority to delegate to subcommittees), and (d) Board committee reporting to the Board. The Committee shall maintain and coordinate an orientation program for new directors and a continuing education program for all directors, periodically reviewing these programs and updating them as appropriate.
14. The Committee shall receive reports from and advise Management on the Company's sustainability strategy, policies, programs and reporting, and collaborate with the Board and other Board committees on such matters.
15. The Committee will annually review and reassess the adequacy of this Charter and recommend any proposed changes to the Board for approval.

16. The Committee shall perform any other activities consistent with this Charter, the Company's By-laws and governing law as the Committee or the Board deems appropriate.

## **V. Performance Evaluation**

The Committee shall conduct an annual performance evaluation of itself and shall report to the Board on this evaluation.

## **VI. Committee Resources**

The Committee shall have the authority to obtain advice and seek assistance from internal or external legal, accounting or other advisors, including authority to approve such advisors' fees and other retention terms. The Committee shall have the sole authority to retain and terminate any search firm to be used to identify director candidates, including sole authority to approve such search firm's fees and other retention terms.

## **VII. Disclosure of Charter**

This Charter will be made available on the Company's website at *www.broadridge-ir.com/governance/governance-documents*.

Last Amended: February 4, 2025